



## Senfin Select Factor Fund IPO For Corporate Investors

Please read the instructions below before completing this Application Form. The Form must be completed in full and in **BLOCK CAPITAL LETTERS**. Please tick (✓) in the boxes appropriate to you.

### Particulars of Applicant

Name of Corporate \_\_\_\_\_  
Company / Registration No. \_\_\_\_\_  
Country of Incorporation \_\_\_\_\_ Date of Incorporation          
Registered Address \_\_\_\_\_  
\_\_\_\_\_

Are you a Subsidiary / Associate of another organization? ☐ Yes ☐ No  
Subsidiary of \_\_\_\_\_ OR Associate of \_\_\_\_\_  
Contact Person \_\_\_\_\_  
Designation of Contact Person \_\_\_\_\_  
Contact Details Business Mobile Facsimile  
E-mail \_\_\_\_\_

Nature of Business \_\_\_\_\_  
Expected value of investment per annum ☐ Up to LKR 5,000,000 ☐ LKR 5,000,000 to LKR 10,000,000 ☐ Over LKR 10,000,000  
Source of Funds ☐ Business Ownership ☐ Investment Earnings ☐ Other (please specify) \_\_\_\_\_  
Other connected business interests/professional activities \_\_\_\_\_

### Bank Account Details

Bank Name \_\_\_\_\_  
Branch Address \_\_\_\_\_  
Corporate Account No. \_\_\_\_\_ Account Type: ☐ Current ☐ SIA ☐ Savings

### E-mail Communication

We wish to receive the following documents via email in lieu of physical document(s) ☐ Yes ☐ No  
*If Yes* ☐ Account Statement ☐ All other Statutory Communications ☐ Marketing Updates

### Declaration and Signatures

- I. We declare that all information in this Unit Trust Application Form and in all documents that have been or will be signed by us in connection with this investment whether in our handwriting or not are true and accurate and form the basis of this Agreement.
- II. We have read and fully understood the Terms and Conditions in the Explanatory Memorandum pertaining to the Units that may be issued pursuant to this Application Form and agree to be bound by the aforementioned notes.
- III. We hereby agree to accept and to be bound by the provisions of the Trust Deed as amended from time to time.
- IV. We confirm that this investment is made on the understanding that prices of Units could move up as well as down from time to time due to market fluctuations as explained in the Explanatory Memorandum.
- V. We are aware of the fees and charges that will be incurred directly or indirectly when investing in the Fund.
- VI. We authorize Senfin Asset Management (Pvt) Ltd. (Fund Manager) to accept instructions by facsimile or registered email and hereby waive any claim that we may have against the Fund Manager and indemnify the Fund Manager against any loss incurred as a result of the Fund Manager receiving and/or acting upon such facsimile/email which have been originated by us or purported to have been originated by us.
- VII. We agree that the Fund Manager reserves the right to reject, withdraw or terminate forthwith without notice our application without assigning any reasons thereto and recover related costs and/or other expenses pertaining to this account and under no circumstances shall Fund Manager be liable for any loss or damages for such action.
- VIII. We hereby consent Senfin Asset Management (Pvt) Ltd to verify my/our NIC details using National Identity Card Data Online Verification Service provided by Department for Registration of Persons only for the purpose of completing Know Your Customer (KYC) requirements and to open an account for purchase of Unit Trusts.
- IX. We authorize Senfin Asset Management to open accounts in any of its funds based on the duly signed application form held by the company along with a Investment Form for the new fund investment supported by a written request.
- X. **For Non-Resident Applicants**

We declare that we are resident outside Sri Lanka at the time of opening of this account and further declare that the funds to be invested for the purchase of Units in any issue or transactions with the Fund Manager shall be made only out of or into a Inward Investments Account (IIA) or an account maintained in foreign currency opened with a licensed commercial bank in Sri Lanka.

Date

Company Stamp/Rubber Seal

## Instructions

1. Please read the Fund's Key Investor Information Document before completing this Application Form.
2. Duly completed Application Form can be forwarded to the office of Senfin Asset Management (Pvt) Ltd. or through any authorized marketing agent appointed by Senfin Asset Management (Pvt) Ltd.
3. Any change of contact details/bank account details should be notified immediately in writing to the Registrar at Senfin Asset Management (Pvt) Ltd., No 267, Galle Road, Colombo 03, Sri Lanka.
4. The common seal or rubber stamp of the Company should be affixed and the Unit Trust Application Form should be duly signed as stipulated in the constitutional documents of such applicant.
5. Applications must be made on this Form. Exact size photocopies of this Form may also be used.
6. Payments for the purchase of Units by Non-Resident applicants can be through a Inward Investment Account (IIA) maintained at a licensed commercial bank in Sri Lanka.
7. Each Unit Trust Application Form should be submitted with the following documents :
  - I. A copy of the Company Registration
  - II. A copy of the Memorandum & Articles of Association
  - III. Letter of authorized signatories to operate the account
  - IV. NIC/Passport copies of Directors
  - V. Completed Investment Form
  - VI. Beneficial Ownership Form
  - VII. Form 20

## Distributor information (To be filled by Agents/Brokers)

Name

Agent/Broker Seal

## For Office Use Only

Application No.
Unit Holder Reg. No.
Unit Holder Acc. No.
Verifying Officer
Authorized By

Check List	Company	Other
Mandatory Details		
Copy of Business Registration		
Address Verification		
Letter of Authorized Signatories		

### Senfin Asset Management (Pvt) Ltd

Correspondence Address: 3rd Floor, No 180, Bauddhaloka Mawatha, Colombo 04. Sri Lanka. investor.relations@senfin.com, www.senfinassetmanagement.com, Company Registration No. PV 75997