

Please select appropriate Unit Trust Fund

- ☐ **Senfin Growth Fund**
☐ **Senfin Shariah Balanced Fund**
☐ **Senfin Dynamic Income Fund**
☐ **Senfin Insurance Sector Fund**
☐ **Senfin Consumer Staples Fund**

- ☐ **Senfin Money Market Fund**
☐ **Senfin Shariah Income Fund**
☐ **Senfin Dividend Fund**
☐ **Senfin Financial Services Fund**
☐ **Senfin Select Factor Fund**

Please read the instructions below before completing this Application Form. The Form must be completed in full and in **BLOCK CAPITAL LETTERS**. Please tick (✓) in the boxes appropriate to you.

Account Type ☐ Individual ☐ Joint ☐ Minor
 Operating Instructions for Joint Account ☐ Sole/First Applicant ☐ Both Parties ☐ Either Party

Particulars of Sole/First Applicant/Minor

Title ☐ Miss ☐ Mrs. ☐ Mr. ☐ Rev. ☐ Other

Full name as it appears in the NIC/Passport (underline last name)

NIC No./Passport No. Date of Birth

Nationality ☐ Sri Lankan ☐ Non-Sri Lankan (please state nationality)

Residency Status ☐ Resident ☐ Non-Resident

Permanent Address

Correspondence Address (if different from above)

Contact Details Home Business Facsimile
 Mobile E-mail

Occupation/Nature of Business (if self-employed)

Name of Employer/Business

Employer's Address/Business Address

Expected value of investment per annum ☐ Up to LKR 500,000 ☐ LKR 500,000 to LKR 1,000,000 ☐ Over LKR 1,000,000

Source of Income ☐ Salary ☐ Business profit ☐ Other (please specify)

Other connected business interests/professional activities

Are you or any member of your family a politically exposed person(PEP)

If yes please state the relationship

Particulars of Second Applicant / Guardian

Title ☐ Miss ☐ Mrs. ☐ Mr. ☐ Rev. ☐ Other

Full name as it appears in the NIC/Passport (underline last name)

NIC No./Passport No. Date of Birth

Nationality ☐ Sri Lankan ☐ Non-Sri Lankan (please state nationality)

Residency Status ☐ Resident ☐ Non-Resident

Permanent Address

Correspondence Address (if different from above)

Contact Details Home Business Facsimile
 Mobile E-mail

Occupation/Nature of Business (if self-employed)

Name of Employer/Business

Employer's Address/Business Address

Expected value of investment per annum ☐ Up to LKR 500,000 ☐ LKR 500,000 to LKR 1,000,000 ☐ Over LKR 1,000,000

Source of Income ☐ Salary ☐ Business profit ☐ Other (please specify)

Other connected business interests/professional activities

Bank Account Details of First Applicant /Guardian

Bank Name

Branch Address

Account No.

Account Type:

☐

Current

☐

Savings

☐

IIA

E-mail Communication

I/We wish to receive the following documents via email in lieu of physical document(s)

☐

Yes

☐

No

If Yes

☐

Account Statement

☐

All other Statutory Communications

☐

Marketing Updates

Declaration and Signatures

- I. I/We declare that all information in this Unit Trust Application Form and in all documents that have been or will be signed by me/us in connection with this investment whether in my/our handwriting or not are true and accurate and form the basis of this Agreement.
- II. I/We have read and fully understood the Terms and Conditions in the Explanatory Memorandum pertaining to the Units that may be issued pursuant to this Application Form and agree to be bound by the aforementioned notes.
- III. I/We hereby agree to accept and to be bound by the provisions of the Trust Deed as amended from time to time.
- IV. I/We confirm that this investment is made on the understanding that prices of Units could move up as well as down from time to time due to market fluctuations as explained in the Explanatory Memorandum.
- V. I/We am/are aware of the fees and charges that will be incurred directly or indirectly when investing in the Fund.
- VI. I/We authorize Senfin Asset Management (Pvt) Ltd (Fund Manager) to accept instructions by facsimile or registered email and hereby waive any claim that I/we may have against the Fund Manager and indemnify the Fund Manager against any loss incurred as a result of the Fund Manager receiving and/or acting upon such facsimile/email which have been originated by us or purported to have been originated by me/us.
- VII. I/We agree that the Fund Manager reserves the right to reject, withdraw or terminate forthwith without notice my/our application without assigning any reasons thereto and recover related costs and /or other expenses pertaining to this account and under no circumstances shall Fund Manager be liable for any loss or damages for such action.
- VIII. I/We hereby consent Senfin Asset Management (Pvt) Ltd to verify my/our NIC details using National Identity Card Data Online Verification Service provided by Department for Registration of Persons only for the purpose of completing Know Your Customer (KYC) requirements and to open an account for purchase of Unit Trusts.
- IX. I/We authorize Senfin Asset Management to open accounts in any of it's funds based on the duly signed application form held by the company along with a Investment Form for the new fund investment supported by a written request.
- X. **For Non-Resident Applicants**
I/We declare that I/we am/are resident outside Sri Lanka at the time of opening of this account and further declare that the funds to be invested for the purchase of Units in any issue or transactions with the Fund Manager shall be made only out of or into an Inward Remittance Account (IIA) or an account maintained in a licensed commercial bank in Sri Lanka.

Signature of Sole / First Applicant

Signature of Second Applicant / Guardian

Date

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Instructions

- Please read the Fund's Explanatory Memorandum before completing this Application Form.
- Duly completed Application Form can be forwarded to the office of Senfin Asset Management (Pvt) Ltd. or through any authorized marketing agent appointed by Senfin Asset Management (Pvt) Ltd.
- Any change of contact details /bank account details should be notified immediately in writing to the Registrar at Senfin Asset Management (Pvt) Ltd, No 267,Galle Road, Colombo 03, Sri Lanka.
- Investment of a Minor (individuals under the age of 18) should always be accompanied by a Guardian as a Joint Holder and Guardian should sign the Application Form. Redemptions can only be done after Minor attains 18 years of age.
- Applications must be made on this Form. Exact size photocopies of this Form may also be used.
- Payments for the purchase of Units by Non-Resident applicants can be through an Inward Investment Account (IIA) or an account maintained in foreign currency at a licensed commercial bank.
- Each Unit Trust Application Form should be submitted with the following documents :
 - A copy of NIC/Passport
 - If current residential address is different to the address stated in NIC/Passport, please provide a bank/credit card statement or utility bill not older than three months from the date of this Application Form
 - In the case of an Application made under Power of Attorney (POA), a copy of the POA certified by a Notary Public
 - In the case of an Application made in the name of a Minor, a copy of the Birth Certificate of the Minor
 - Completed Investment Form

Distributor Information (To be filled by Agents /Brokers)

Name

Agent/Broker Seal

For Office Use Only

Application No.

Unit Holder Reg. No.

Unit Holder Acc. No.

Check List

Sole/First

Second/Guardian

Mandatory Details

NIC / Passport

Address Verification

POA

Verifying Officer

Authorized By

Senfin Asset Management (Pvt) Ltd

No 267,Galle Road, Colombo 03, Sri Lanka. sfam.info@senfin.com, www.senfinassetmanagement.com, Company Registration No. PV 75997